Practical Applications of The ASAM Criteria

Scott Covert
Vice President of Early Intervention Services
The Change Companies®



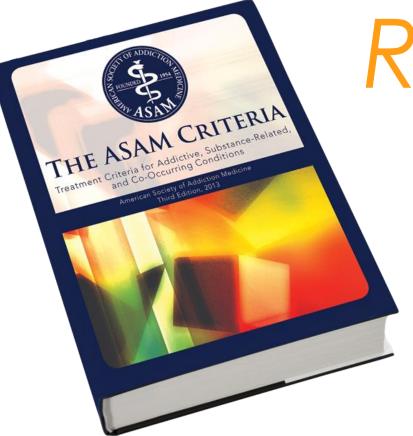




TRAINING OBJECTIVES

- Revisit ASAM training objectives & goals
- Identify key treatment planning objectives
- Demonstrate effective implementation strategies for treatment goals and objectives
- Develop a plan for back at the office

ASAM



Revisited

Paradigm Shift

Complications-driven treatment

Program-driven, diagnosis-driven treatment

Individualized, clinically driven treatment

Paradigm Shift

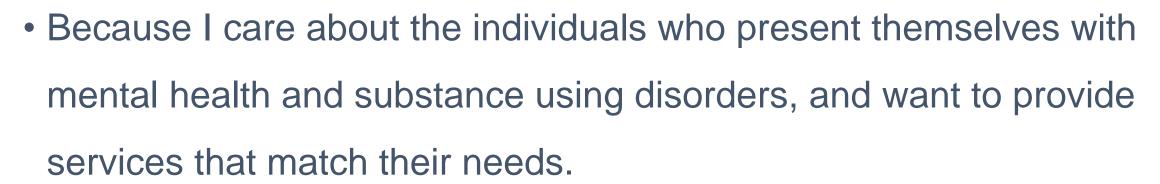
The criteria have evolved over time to reflect the current scientific research.



- from program-driven to more clinically driven treatment
- from a fixed length of stay to variable length of service
- from a limited number of discrete levels of care to a continuum of care

Why did you train on ASAM?

- Because my Clinical Director told me to.
- So my agency gets paid by Managed Care.





Intake and Assessment

- What does the patient want? Why now?
- Does the patient have immediate needs due to imminent risk in any of the six assessment dimensions?
- Conduct multidimensional assessment
- What are the DSM diagnosis?



The ASAM Criteria – Decisional Flow page 124

DAPPER-3 Placement & Dimensional Assessment

Name:		G	ender: Identifies as	Male		F	emale		
ID:		D	ate of Birth:/				Age: _		
		Assessment Dates							
Date for A-1:			Date for A-2	2: _	_/	/			
Date for B-1:			Date for B-2	2:	/	/			
Date for C-1:	/ /		Date for C-2	2:	/	/			
	month day year			mo	nth	day	yea	r	
	nic grouping does patient iden		nary job type when v		for p	ay:			
	spanic/Latino - white		(1) Professional						
	spanic/Latino - non-white		(2) Upper-level			/busin	ess ov	vner	
	rican-American		(3) Mid-level m		nent				
	tive American		(4) Sales/marke						
(5) Na (6) As	tive Hawaiian/Pacific Islander		(5) Supervisory		/a 1.	1			
	iddle Eastern		(6) Craft/skilled (7) Office/white						
(8) Ca	ucasian/White						ator		
	ultiracial/Biracial/Other		(8) Transportation/equipment operator (9) Laborer/unskilled worker						
			(10) Service wo				ss)		
	status at entry into treatment:		(11) Domestic v)	
(1) No (2) Di			(12) Military se				,	,	
(3) Se		1	(13) Other (spec	ify)					
(4) W									
	ving as married		Diagnostic	Impre	ssion	s			
(6) Ma	arried								
Highest degree	earned:	Ш		Not Determined	No Diagnosis		ا		
	high school diploma earned			1 1	lagi.		Moderate		
	gh school diploma or GED	Substa	ince	Set of	S G	Mild	Nog	Severe	
	cational/technical/business sch	ool grad.			-	-	-	-	
	sociate degree	Alcoho	ana / Cannabis	+			-	_	
	chelor's degree	0	ne (powder or crack)	+	_				
(6) Ma	aster's, doctoral, or other postg	ad. degree	ants of any type	+			-		
	atus upon entry into treatment:	Sedatio	ve/hypnotics/etc.	+		-	_	_	
	orking full time for pay (30 hr./w	k. or more)	/Prescribed Opioids	+	_		_	_	
	orking part time for pay (< 30 hr	/wk.) Inhalar						_	
(3) Un		1	esigner drugs	\vdash			_		
	t working for pay by choice		inogens					_	
(5) Dis (6) Re			unknown/mixed	\Box					
(0) Re	ureu	Junean						_	

priorities or areas requiring greate	r attention. List item numbers corresponding to the areas of concern.
Initial Assessment	Fourth Assessment
Dimension 1:	Dimension 1:
Dimension 2:	Dimension 2:
Dimension 3:	Dimension 3:
Dimension 4:	Dimension 4:
Dimension 5:	Dimension 5:
Dimension 6:	Dimension 6:
Second Assessment	Fifth Assessment
Dimension 1:	Dimension 1:
Dimension 2:	Dimension 2:
Dimension 3:	Dimension 3:
Dimension 4:	Dimension 4:
Dimension 5:	Dimension 5:
Dimension 6:	Dimension 6:
Third Assessment	Sixth Assessment
Dimension 1:	Dimension 1:
Dimension 2:	Dimension 2:
Dimension 3:	Dimension 3:
Dimension 4:	Dimension 4:
Dimension 5:	Dimension 5:
Dimension 6:	Dimension 6:
Additional Notes	

SUDDS-5 Diagnosis of DSM-5 Criteria

Jme	·	Intervi	riewer
			tion Code
	date / /		
	Circle) 1 Male 2 Female	Date o	of Interview / / /
GEN	IERAL INFORMATION		
1.	What is your ethnic origin?	5.	What is your primary job when you are working?
	1 Asian		1 Professional/technical
	2 African-American		2 Manager/administrator/business owner/farmer
	3 Hispanic		3 Sales
	4 Native American	}	4 Clerical/office worker
	5 Caucasian		5 Craft/skilled trades
	6 Biracial/Multiracial/Other	1	6 Transportation/equipment operator
	Miles in comment and the late to a Comment a		7 Laborer
2.	What is your current marital status?		8 Domestic worker (housekeeper, daycare, etc.)
	1 Never married 2 Married	i	9 Service worker (waiter/waitress)
	2 married 3 Separated		10 Military Service
-	3 Separated 4 Divorced		11 Other (specify)
)	5 Widowed		
		6.	What was your total personal income last year?
3.	What is the highest degree you have earned?		1 None to \$10,000
	1 Not a high school graduate		2 \$10,001 to \$20,000
	2 High school diploma/GED		3 \$20,001 to \$30,000
	3 Vocational/technical/business school		4 \$30,001 to \$40,000
	4 Associate Degree (2 year)	1	5 \$40,001 to \$50,000
	5 Bachelor Degree (4 year)		6 Over \$50,000
	6 Master's Degree	7.	Are any minor children living with you?
	7 M.D., J.D., Doctorate	1	0 No
4.	Are you employed?		1 Yes
	1 Yes, full-time (35 hours or more)		
	2 Yes, part-time (less than 35 hours)		
	3 No, unemployed (seeking work)		
	4 Not working by choice		
Con	yright, 1995; 2013 by Norman G. Hoffmann, Ph.D.		Distributed
All ri	ights reserved.		The Change Compan
Rep	roduction or adaptation in any form, in whole or in part, by any ins, is a violation of copyright and constitutes unethical and	1	5221 Sigstrom, Carson City, NV 897 Telephone: 888-889-8866; Fax: 775-885-06

SUDDS-5 Diagnosis of DSM-5 Criteria

Name	John Doe		483				-16-20	7/3
Q#	Indicator	Criterion	Alcahal	Cannabis	Cocaine	Stimulant	-	
16	Frequent Drinking (5+ daysAvk)	T						
817	and 5 drinks per occasion	3	E 3 C					
17	Usually drink 7+ drinks per occasion	10	E 10 C	-			-	
20	Urin'cs fifth per day	10	F 10 C	_				
21	Amnesic periods (Blackouts)	9	E 9 C		-	-	-	
22 -	Frequent Drug Use (5+ days/vk)	3		E 3 (C)	F 3 C	E 3 C	E 3 C	E 3 C
23	Injected drug to get high	8		_	E 8 C	FBC	E 8 C	E 8 C
25	Unintended use	T 1_	E 1 (C)	E 1 C	E 1 C	F 1 C	E 1 C	E 1 C
26	Longer use than intended	1	E 1 (5)	E 1(0)	E 1 C	FIC	E 1 C	E 1 C
27	Binge of 21 days	3	E 3 C	E 3 C	E 3 C	E 3 C	E 3 C	E 3 C
28	Using most of the day	3	E 3 C	E 3 (C)	E 3 C	E 3 C	F. 3 C	E 3 C
29	Tolerance	10	E 10 🖸	E 10 C		E 10 C	E 10 C	E 10 C
30	Preoccupation with use	4	F 4 C	E 4 C	E 4 C	E 4 C	E 4 C	Ł 4 C
31	Computation to use	4	E 4 (2)	E 4 C	E 4 C	F 4 C	E 4 C	E 4 C
32	Failed to follow rules for use	2	E 2 C	E 2 C	E 2 C	F. 2 C		E 2 C
33	Wanted to stop	2	E 2 (C)	E 2 C	F 2 C	E 2 C		E 2 C
34	Life revolved around uso	3	E 3 C	E 3 C	F 3 C	E 3 C	E 3 C	E 3 C
35	Withdrawal indications	11		E 11 C	E 11 C	E 11 C		E 11 C
36	Use to alleviate withdrawa!	11	E 11 (C)	E 11 C	E 11 C	E 11 C	E 11 C	E 1' C
37	Objections to use by others	6	E 0 C	E 5 (C)	E 6 C	E 6 C	E 6 C	E 6 C
38	Use damaged a relationship	6	F 6 C	E 6 C	E 6 C	E 6 C	E 6 C	
39	Became violent or hit someone	6	(E) 6 C	E 6 C	E 6 C	E 6 C	E 6 C	E 6 C
40	Sacrifice activities to use	7	F 7 (C)		E 7 C	E 7 C	E 7 C	C 7 C
41	Effects of use interfere with activities	7	E 7 C	E 7 C	E 7 C	E 7 C	E 7 C	E 7 C
42	Neglected responsibilities	5	ESC	E 5 (C)	E 5 C	F 5 C	E 5 C	E 5 C
43	Unable to pay bills or obligations	5	E 5 C	E 5 C	E S C	E S C		E 5 C
44	l'inancis' impediments to activities	7	E 7 C		F 7 C	E 7 C		F 7 C
45	Missed work or schoo	5	E 5 (C)		E S C	E 6 C	E S C	F 5 C
45	Reduced work effort/opportunity	, 5	E 5 C		E S C	E 5 C	ESC	E 6 C
47	Difficulties at job or school due to use	5	E 5 (C)	E 5 C	E 5 C	E 5 C	E 5 C	E 5 C
48	Conflicts at work due to use	6	E 6 C	E 6 C	E 6 C	E 6 C	EBC	E 6 C
49	Lost job or suspended from school	5_	E 5 C	E 5 C	E 5 C	E 5 C	E 6 C	E 5 C
60	Medical consequences of use	. 9	F 9 C	E 9 C	E 9 C	E 9 C	E 9 C	
51	Medical contraindications	9	E 9 C	E 9 C	FOC	EDC	L 9 C	E 9 C
52	Injury while drinking/using	. 8	E 8 C	E 1 2+	E 1 2+	E 1 2+	E 1 2+	E 1 2+
53	Risky sexual behavior when using	8	EBC	ESC	FAC	EBC	E 8 C	F B C
54	Used more than intended	1	E 10		E 1 C	E 1 C	E 1 C	E 1 C
55	Failure to eat property	9	EOC	E 9 C	E 9 C	E 9 C	E 9 C	E B C
56	Emotional problems due to use	9	FAC	E 9 C	E 9 C	E 9 C	F 9 C	ESC
57	Memory/concentration problems	9	F 8 C	E 9 C	EBC	E 9 C	F 9 C	ESC
58	Motor venicle accident after use	8		E 8 C	EBC	E 8.C		E 8 C
59	Driven while under the influence	8	E 8 C		EBC	EBC	EBC	E 8 C
61	Wanting to cut down	2	E 2 C		E 2 C	E 2 C	E 2 C	E 2 C
62	Craving	4		E 4 C	E4C		E 4 C	E 4 C
63	Other withdrawal indications	- 11	E 11 C	E 11 C	E 11 C	E 11 C	E 11 C	E 11 C
	Total number of positive criteria	;	8	4		'		

The DSM-5 requires that at least two criteria of	Diagnostic Conclusions and Codes			
events or behaviors specific to a given substance occur during the same 12-month period for an	The F codes in parentheses are ICD-10 codes.			
individual to be diagnosed as having a substance	Alcohol			
use disorder.	Mild: 305.00 (F10.10)			
DSM-5 Substance Use Disorder Criteria	Moderate: 303.50 (F10.20)			
 The substance is taken in larger amounts or over a longer period than intended. 	Severe: 303.90 (F10.20)			
A 2. There is a persistent desire or unsuccessful	Cannabis			
effort to cut down or control use.	Mild: 305.20 (F12.10)			
A great deal of time is spent obtaining, using, or recovering from the effects of use.	_X_Moderate 304.30 (F12.20) Severe: 304.30 (F12.20)			
A 4. Craving or a strong desire, or urge, to use.	Cocaine			
A 5. Recurrent failure to fulfill major role obligations at work, school, or home.	Mild. 305.60 (F14.10)			
6. Continued use despite persistent or recurrent	Moderate: 304.20 (F14.20)			
social or interpersonal problems caused by or	Severe: 304 20 (T14 20)			
made worse by continued use. 7. Important social, occupational, or recreational	Stimulants - amphetamine or other			
activities are given up or reduced because of	Mild: 305.70 (F15.10)			
use	Moderate: 304.40 (F15.20)			
 Recurrent use in situations in which it is physically hazardous to be impaired by use. 	Severe: 304.40 (F15.20)			
9. Continued use despite knowledge of having a	Inhalants			
physical or psychological problem that is caused by use or is likely to be made worse by continued	Mild: 305.90 (F18.10)			
use.	Moderate: 3C4.60 (F18.20)			
▲ 10. Tolerance as defined by needing increased	Scyero: 304.60 (F18.20)			
amounts to get the desired effect or diminished effect with the same amount.	Opioids			
11. Withdrawal syndromo or using the same	Mild 305.50 (F11.10)			
substance to relieve or avoid withdrawal symptoms.	Moderate. 304.00 (F11.20)			
sympioma.	Severe: 304.00 (F11.20)			
DSM-5 Diagnoses	Sedatives/hypnotics/anxiolytic			
DSM-5 Diagnostic designations are determined by	Miid: 305.40 (F13.10)			
the number of positive criteria as follows.	Moderate: 304.10 (F13.20)			
0 - 1 no diagnos:s 2 - 3 mild substance use disorder	Severe. 3C4.10 (F13.20)			
4 – 5 moderate substance use disorder	Hallucinogens: Phencycliniding / other			
6 + severe substance use disorder	Mild. 305.90 / 305.30 (F18.10)			
Comments:	Moxicrate 304.60 / 304.50 (F16.20)			
	Severe: 304.50 / 304.50 (F18.20)			
	Other/unknown/			
	Mild:			
	Moderate:			
	Severe			
	ICD-10 codes for all hallucinogens are the same			
ONOman G Hofmann, Pr. D	The same and the same			

Service Planning and Placement

- Multidimensional severity/level of function profile
- Identify which assessment dimensions are currently most important to determine treatment priorities
- Choose specific focus and target for each priority dimension
- What specific services are needed for each dimension?

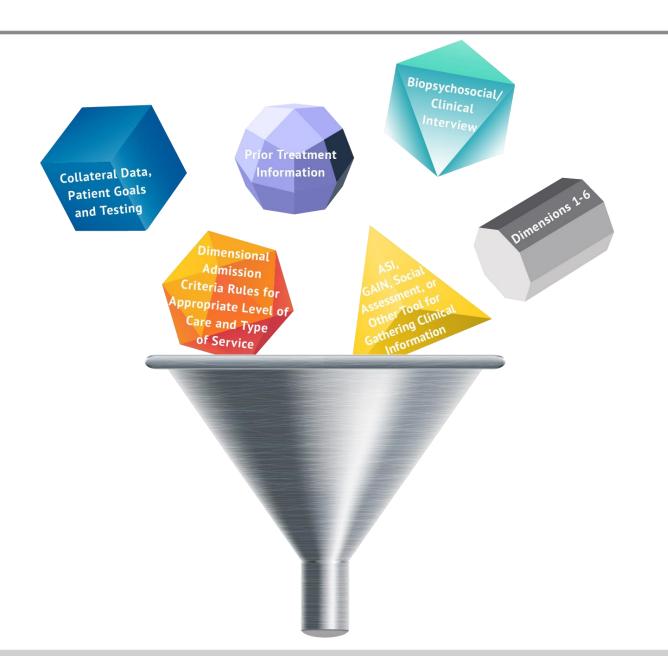
Intake and **Assessment** Service Planning and **Placement**

The ASAM Criteria – Decisional Flow page 124

What is a

Treatment

Plan?



Sample

Treatment

Plan

SAMPLE TREATMENT PLAN

Recipient Information		Provider Information	on			
Medicaid Number: 12345678		Medicaid Number: 9101121				
Name: Jill Sprat		Name: Tom Thumb, Ph.D.				
DOB: 05-25-99		Treatment Plan Date: 10-03-16				
Other Agencies Involved						
Jack Horner, M.D. Child		contact during the fir				
Psychiatrist	treatme	nent, then as needed, but at least 1 time				
		3 months				
Dayton High School		st teacher to complete Achenbach teacher				
		Form (TRF) 1 time during the first month				
		tment. Continued contact by phone as				
	needed					
	_	T _				
Medication(s):	Dose:	Frequency:	Indication:			
Prozac	20 mg	1 x day	Depression			
Vivitrol	20 mg	1 shot per month	Alcohol/Marijuana			
			Use Disorder			

 Problem/Symptom: Depression as manifested by sadness, irritability, poor selfesteem, low energy, excessive sleep and suicidal ideation. Severe substance use disorder for alcohol and Moderate using disorder for Marijuana using DSM 5 criteria.

Long-term Plan: Symptoms of depression will be significantly reduced and will no longer interfere with Jill's functioning. Jill will be abstinent with both alcohol and marijuana use.

ļ	marijuana usc.			
	Short-term Goals/Objectives	Date Established	Anticipated	Date Achieved
			Comp. Date	
	1. Jill will develop a safety plan and	10-06-16	10-20-16	
	no harm contract.			
	2. No suicidal ideation for 90-days	10-06-16	01-06-17	
	3. Jill will be med compliant for 90-	10-06-16	01-06-17	
	days			
	4. Jill will increase insight	10-06-16	11-06-16	
	regarding mental illness and			
	demonstrate realistic expectations			
	5. Jill will decrease denial about	10-06-16	11-06-16	
	substance abuse and achieve and			
	maintain abstinence.			

Treatment

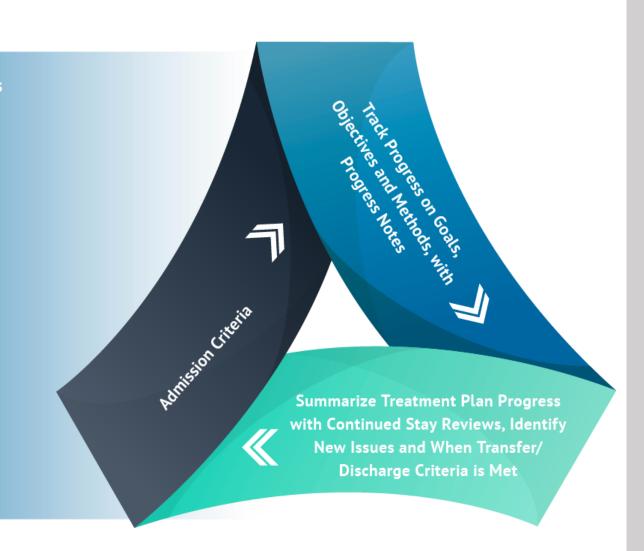
Plan

Elements

Treatment Plan Elements

- 1. Describe problem.
- 2. Reflect data that supports dimensional admission or continued stay criteria.
- 3. Describes specific behavior that manifests problem.
- 4. Indicates why it's a problem ("as evidenced by") or consequences.

 Does NOT state what patient "doesn't have" or "lacks."
- 5. Describes patient's view of problem.



Treatment Plans Drive Care

Treatment plan problems

Language the client can accept?

 Language that is individualized and clientcentered

Avoid/leave Not heard Discounting
Disrespected
Inattentive Engaged Disengaged Respected Angry Talk more **Passive** Cooperative Disliking **Undefensive** Cooperative Respected

Scott's Treatment Goals

- Don't drink...total abstinence or you're out.
- Don't use drugs...total abstinence or you're out.
- Go to group and learn something useful.
- Go to AA/NA meetings.
- Collaboration with client: We voted and you're not going to make it.

Jill's Goals & Objectives

- I want to have enough energy to focus on my job.
- I don't want to feel dopey all the time.
- I need to get along better with my coworkers. My boss said I will be fired if I can't figure it out.
- I want to finish my GED but I don't know where to start.
- I don't know how to cope with what I have been through. I need to figure out other ways of coping.

WHAT WORKS?

Individualized Care

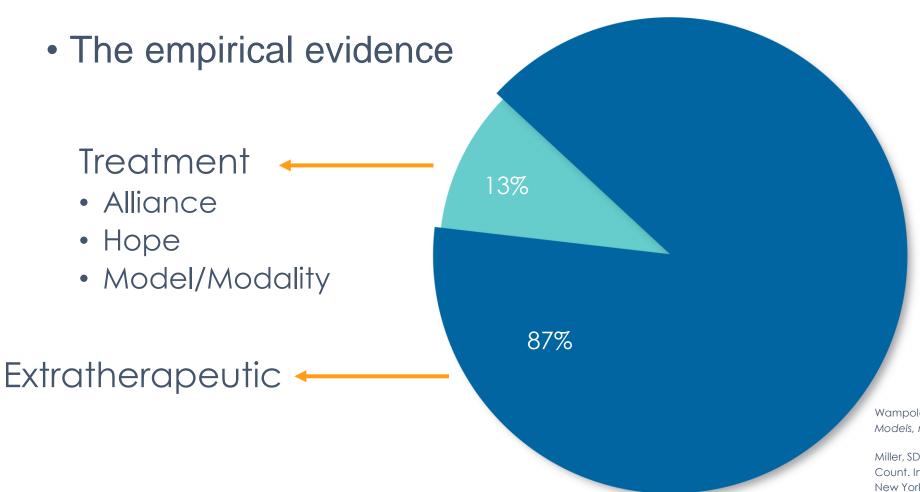
This person

in this setting

on this day

at this stage of interest or readiness to change

What works in behavior change?



Wampold, BE. (2001). The great psychotherapy debate: Models, methods, and findings. New York: Lawrence Erlbaum.

Miller, SD, Mee-Lee, D, & Plum, B. (2005). Making Treatment Count. In J. Lebow (ed.), Handbook of clinical family therapy. New York: Wiley.

Extratherapeutic FACTORS

- Readiness to change
 Existing social support
- Strengths and
 - resources
- Level of functioning
 Life events
- Socioeconomic status

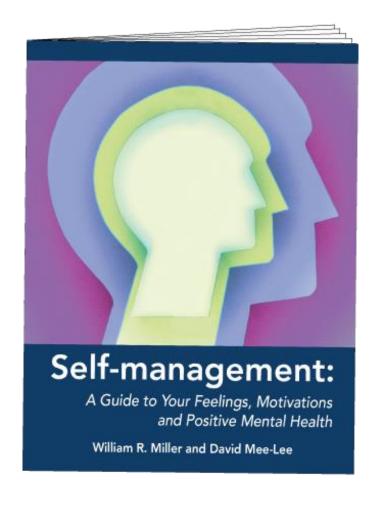
- network
- Personal motivations

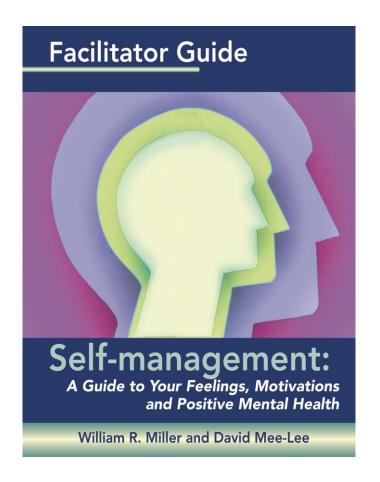
Interactive Journaling®



Included in SAMHSA's
National Registry of
Evidence-based
Programs and Practices

Interactive Journaling®





Manualized Modalities

Transtheoretical Model of Behavior Change

Motivational Interviewing Principles

Cognitive-behavioral Approach

Guided Process

Organic patterns

Self-management Facilitator Guide

Self-management Facilitator Guide

Responses

Drugs that are misused have direct physical effects, particularly on the brain (which is the principal motivation for their use), but also on other organ systems. A seventh way to influence health through Organic processes, then, is to refrain from the use of alcohol, tobacco and illicit drugs (O7). The box at the bottom of page 66 invites participants to consider what adverse effects alcohol and other drugs have had on their body.

One-to-one

Explore with the participant the negative physical (Organic) effects that he or she has experienced that were (or might have been) a result of alcohol or other drug use. What impact has alcohol/drug use had on the participant's body and physical wellness? Encourage and facilitate appropriate consultation (medical, dental, etc.) for continuing physical

problems.

Group Explore with participants the negative physical (Organic) effects that they have experienced that were (or might have been) a result of alcohol or other drug use. What impact has alcohol/drug use had on their bodies and physical wellness? Encourage and facilitate appropriate consultation (medical, dental, etc.) for continuing physical problems.

ges	hally, the state and III-being of your body affected by drugs you into it. The use of scription medications of discussed earlier (pages 6-64). oversimplify, most ags associated with boblem use fall into one three categories: Up, was or Out. Uppers ch as caffeine, occaine methamphetamine) ificially increase heart e, energy, concentration
and a sense of well-being. Downers like alcohol and barbiturates deptication of shart down body function shart down body function shart down body function downers that downers that downers that the shart training and near training and earnabis directly after thought and perception. Some drugs do more than one of these things. Optiodis, for example, have both Down and Out functions — they depress heart rate and breathing while also altering mental	Downers like alcohol and barbiturares depress or shut down body functions (including breathing and heart rate). 'Outer' drugs like hallucinogens and cannabis directly alter thought and perception. Some drugs do more than one of these things. Opioids, for example, have both Down and Out functions – they depress heart rate and breathing

With further probing, participants' responses on page 66 can provide information regarding ASAM Dimension 4, readiness to change and awareness about a condition.

overall emphasis is that behavior (one's Response) is a choice among options. The box on page 67 asks participants to identify a time when they could have made the wrong choice, but instead chose to respond in a different way that turned out well. The STORC elements are used to analyze the situation, including the Response that the person avoided, and what he or she did instead. These incidents provide rich material for discussion, and having people tell these personal stories is likely to strengthen confidence for change. Change your responses The preceding sections have back, "turn the other cheek" in focused on things you might some way or walk away? When you consider and change with aren't getting what you want, do you angrily demand it and threaten,

This page introduces six strategies for changing the Response component of STORC. The

give up? In every situation, many

own behavior, which is another

have resulted in a bad outcome)

What happened as a Consequence? How

© 2010 The Change Companies® • 67

regard to your Situation, your Thinking and your Organic pattern persistently ask for it or quietly - your mind and body. The fourth link in the STORC chain R is different Responses are possible. how you Respond, what you do, and what you choose to do is up your behavior. It offers yet another to you. This section focuses on place where it is possible to make positive changes.

form of self-control and self-How you Respond is a choice. If determination. someone slaps vou, do vou strike

Describe a Situation in which you could have made the wrong decision or might What was the Situation? What might you have done (that could

What were you Thinking to yourself at the time? How did you actually Respond instead?

Some professionals have believed that it is not a good idea to strengthen clients' confidence (self-efficacy) about change, for fear of causing them to be overconfident. One popular model included confidence about abstinence as a risk factor for relapse. A test of this model found that increasing clients' levels of self-efficacy about maintaining abstinence actually predicted a lower, not higher, rate of

One-to-one

Discuss the participant's responses on this page. Start with the Situation (S), then what he or she was Thinking (T) and Feeling (O) at the time, how he or she Responded (R) and how the situation turned out well (C). Then ask what else the participant might have done (R) that would not have turned out so well (C). Explore how the participant made the "right" choice in responding to this situation and affirm that decision (as appropriate).



Group Discuss participants'

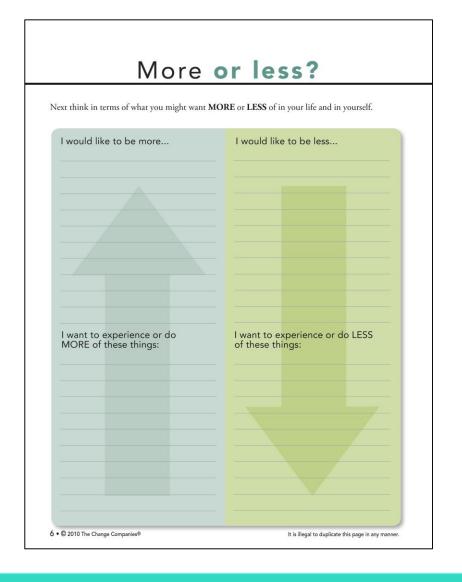
responses on this page. Start with the Situation (S), then what they were Thinking (T) and Feeling (O) at the time, how they Responded (R) and how the situation turned out well (C). Then ask what else the participants might have done (R) that would not have turned out so well (C). Explore how the participants made the "right" choice in responding to this situation and affirm that decision (as appropriate).

How were you feeling at the time?

It is illegal to duplicate this page in any manne

relapse (Miller & Harris, 2000).

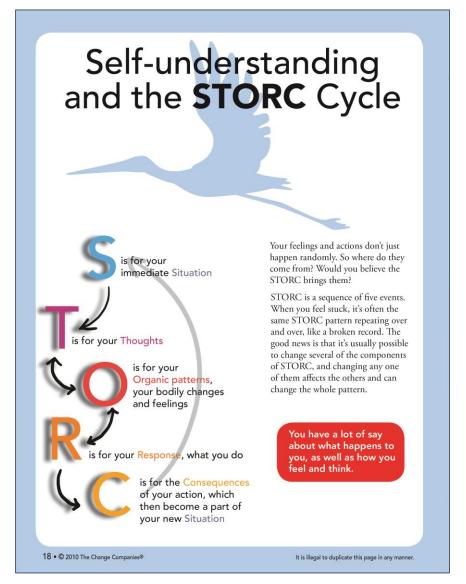
Engaging the Individual



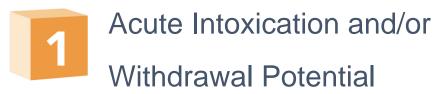
Engaging the Individual

Now look back over pages 4 thr your three most important goals	s for change. Remember to		Не	You ere are some thort descript	hings to co	nsider al	oout you	r first go:		ne line bel	ow, wri
make each goal one that you car make it Achievable, Rewarding,	Measurable and Specific.										
Describe each of your ARMS go	pals below:	My first	change g	oal is to:							
My first goal for change is:		Circle a r change:	number o	n the scale	reflecting	how imp	portant	it is for	you to n	nake this	
		0	1	2 3	4	5	6	7	8	9	10
	□ Achievable	Not at al	l importan	t					Extre	emely imp	ortant
	□ Rewarding □ Measurable □ Specific			se this num to make th			lower n	umber c	or zero?	Why is it	t
	And the second second	<u> </u>									
My second goal for change is:		Circle a i	number o	n the scale	that reflec	ts how o	confider	nt you ar	re about	t making	this
My second goal for change is:	□ Achievable		number o	n the scale	that reflec	ts how o	confider 6	nt you ar	re about	t making	this
My second goal for change is:	☐ Rewarding	change:	1		that reflec		confider 6	-			10
My second goal for change is:		change: O I'm sure Why did	1 can't		4 ber rather	5 than a l	6	7	8	9 I'm sur	10 e I can
	Rewarding Measurable	change: O I'm sure Why did	1 can't	2 3	4 ber rather	5 than a l	6	7	8	9 I'm sur	10 e I can
My second goal for change is: My third goal for change is:	Rewarding Measurable	change: 0 I'm sure Why did believe t	1 can't you choo hat you c	2 3	4 ber rather s change?	5 than a l	6 lower nu	7 umber o	8	9 I'm sur What hel	10 e I can ps you

Skill-building



ASAM Dimensions





Readiness to Change

Relapse/Continued

Biomedical Conditions and Complications



Use/Continued Problem Potentia

Recovery Environment

Emotional/Behavioral/ Cognitive

3 Co

Conditions and Complications



Acute Intoxication and/or Withdrawal Potential

When should you use

medication?

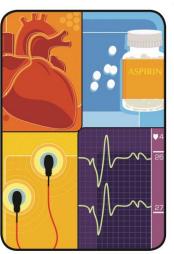
In a life-threatening crisis or medical emergency, others may make a decision for you about which medication you need. Except in these emergency situations, consider the following questions when thinking about using

O⁵

- 1. Have you tried any nonmedication coping strategies before? What worked for you and what didn't?
- 2. Did you have significant psychological problems long before you developed problems with alcohol or other drugs? Or have you had mental health problems continue or get worse even when you were not using alcohol or other drugs for a period of weeks or months? If so, just getting free from alcohol/drug use is not likely to make these problems go away. It may be beneficial to consider medication in addition to counseling and behavior changes.
- 3. Did you start having mood swings, anxiety, depression, hallucinations or paranoid feelings depression, hallucinations or paranoid feelings only after developing alcohol/drug problems or addiction? Do these problems seem to happen only when you are intoxicated or withdrawing from alcohol/drug use? If so, it may make sense to hold off on trying other medications for these problems until you see whether they clear up again when you have quit or cut back on your alcohol/drug use. You can also learn other nonmedication conjura strategies to help other nonmedication coping strategies to help with these problems.
- 4. Do you now have both mental health and active substance use problems that are causing you distress? Usually it is best to treat both problems at the same time, whether using medication or other coping strategies to help

Try biofeedback

Biofeedback is a way of learning how to make specific physical changes in your body when you want to. Research with



biofeedback has shown that it is possible to learn self-control over body functions once thought to be beyond voluntary control.

A piece of biofeedback equipment measures a particular Organic function of the body and converts it into something you can see, hear or feel.

For example, there are biofeedback devices to measure your heart rate, skin temperature, tension in particular muscles and electrical brain waves. The machine might turn one of these, such as the alpha waves in your brain, into a tone you can hear. As you try to make the pitch go up or down, you learn how to increase this restful and peaceful form of electrical brain activity.

Biofeedback has been used successfully to help people learn how to relax muscle tension, reduce headaches, manage anxiety or pain and increase a drug-free sense of well-being. This approach, of course, requires the help of a professional with experience in biofeedback and the proper equipment.



How might biofeedback help you reach your change goals?



Biomedical Conditions and Complications

Eat healthy

It also makes a big difference what fuel you put into your body. Like exercise, eating healthy can help you maintain your mood, energy and weight, and prevent or manage chronic problems like heart disease, high blood pressure or diabetes. While your doctor or a dietician can give you specific advice about healthy eating, the five basic principles are fairly simple:

4. Eat less fat and salt, and

particularly high-fat high-salt fast foods.

- Eat more fresh vegetables and fruit – at least five servings a day.
- Get enough protein. This doesn't have to be meat. Beans, nuts, fish and eggs are good sources of protein.
 Cut down on carbohydrates, especially white foods like sugar, white flour, pasta, potatoes and pretzels.
- 3. Eat more fiber.

But, I don't like these foods!

Here's a little secret: We not only eat what we like, we like what we eat. Especially when you're hungry, satisfy your hunger with healthier food and your body will start liking it. Avoid junk food and fast food as a quick fix when you're hungry.

And experiment! There are far more kinds of vegetables, fruits and fibers than most people know. Try new foods and recipes. Widen the range of things you like.

What particular foods do you think you should eat less of?

What particular healthy foods might you be able to eat more of instead?

It is illegal to duplicate this page in any manner.

© 2010 The Change Companies® • 57

60 • © 2010 The Change Companies®

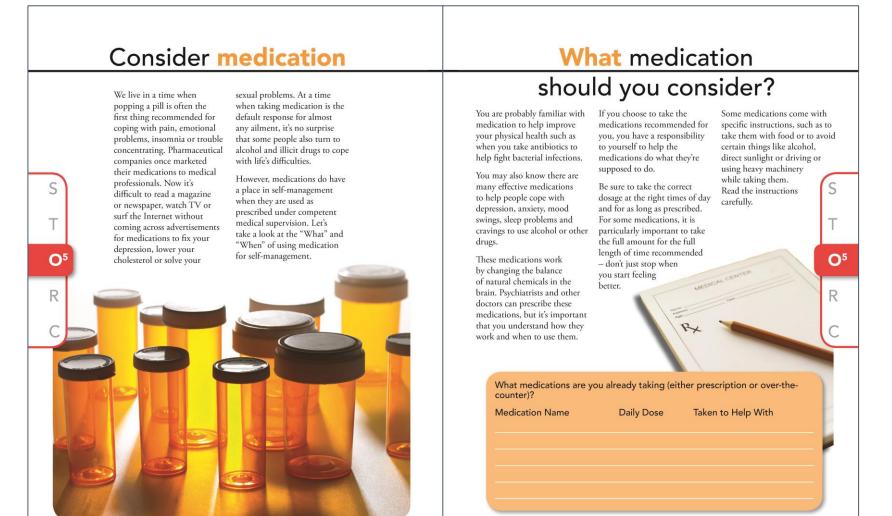
Stay active Another way to keep your Find a form of activity you body healthy is to make sure enjoy. If you have exercise it gets the activity it needs. equipment, try using it while Being active can improve your watching movies or favorite mood, energy, appearance, and television shows. Listen to sleep. It can also help to your favorite music or audio prevent or manage chronic books while you exercise. problems like depression, Move in ways you enjoy diabetes, hypertension and dance, swim, run, walk, play sports, do yoga or tai chi, bicycle, skate, ski or go to a simple: move your body and The point is to take charge instead of falling into an What forms of exercise do you already enjoy? What other ways of moving your body and using your muscles might you What specific things could you do to be more physically active, to move your body and use your muscles?

It is illegal to duplicate this page in any manner.

Sleep well Sleep is important. Being There are things you can try on your own to deprived of good sleep can disturb improve the quality of your sleep. Here are some your mood, energy, concentration and normal thought processes. Having a healthy and balanced lifestyle includes getting a good night's sleep on a regular basis. Yet many people don't sleep well. Some have trouble getting to Go to sleep about the same time each night. A regular sleep pattern helps. sleep; others wake up in the night and have difficulty Do something relaxing just before bedtime. getting back to sleep. Avoid stimulating activities Some wake up feeling Use your bed for sleeping. Don't read, eat, watch TV or use electronics. O⁴ Be careful about chemicals. Caffeine and other stimulants can keep you awake. Alcohol may help you fall asleep, but too much disturbs your sleep in the night Don't lie in bed awake. If you're not asleep within 15-30 minutes, get up and do something else. Go back to bed when you feel sleepy. • Finally, get up at about the same time each morning. Don't sleep in no matter how much you've been awake during the night. And don't nap How would you rate the quality of your sleep in general? What changes could you make to improve your sleep? © 2010 The Change Companies® • 61 It is illegal to duplicate this page in any manner.

62 • © 2010 The Change Companies®

Biomedical Conditions and Complications



It is illegal to duplicate this page in any manner.

© 2010 The Change Companies® • 63

It is illegal to duplicate this page in any manner.

Emotional, Behavioral and Cognitive Conditions and Complications

What is...

Mental health is your own current state of psychological wellness. It can change from day to day, like the weather. Unlike the weather, there are plenty of ways to influence and strengthen your mental health. Just as healthy eating and exercise can improve your physical health, there are things you can do on a regular basis to guide yourself toward optimal mental health.

While there are many ways to think about mental health, there are at least three common dimensions to consider. The psychological names for these are as easy as A-B-C: Affect, Behavior and Cognition.

AFFECT refers to your feelings and emotions, such as anger, joy, fear, love and sadness.

BEHAVIOR refers to your actions, the things you do.

COGNITION refers to your thoughts, how you think about things.

"They'd ask me, 'Why did you do that?' and I'd say, 'I don't know.' There was always a reason, but I didn't know myself well enough to understand what it was."





...mental health?

As you will see later on in this Journal, these three dimensions are closely linked. What you think influences how you feel and what you do. What you do influences how you think and feel. How you feel influences your thoughts and actions.

See how they all connect? This is good – it means that making a positive change in any one of these areas is likely to benefit the others as well.

	100	
<u> </u>		

Emotional, Behavioral and Cognitive Conditions and Complications

What are feelings?

Your feelings or emotions are personal to you. Although someone else may be able to guess how you are feeling by your appearance or from the things you say, only you know for sure what you are experiencing.

Some people find it quite easy to identify and name how they are feeling. Others find it more difficult or confusing, perhaps because it is possible to experience a mixture

of different and unique feelings. Sometimes you'll even experience feelings that seem opposed to each other (for example, feeling sadness, anger and relief about the end of a relationship).

Feelings are also sometimes confused with thoughts. This is because thoughts and feelings are closely linked, as is discussed later in this



16 • © 2010 The Change Companies®

A simple test to see if something is a thought or a feeling is to use the word, "that." For example, a person might say, "I feel that I'm doing OK," or "I feel that you're disrespecting me." If the word "that" logically follows the word "feel," then it's not a feeling – it's a thought. Even if a person uses a phrase where "that" could be inserted, it's still a thought. "I feel I'm doing fine" and "I feel you are disrespecting me."

Another way to check if something is a thought or feeling is to see if the word "think" can be sensibly substituted for "feel": "I think I'm doing fine" and "I think you are disrespecting me." If so, it's probably a thought rather than a

On the other hand, when actual feelings are being described, it makes no sense to substitute the word, "think." "I feel sad" makes sense. "I think sad" just doesn't sound right.

It is illegal to duplicate this page in any manner.

It is illegal to duplicate this page in any manner

© 2010 The Change Companies® • 17

Feelings from A to Z

Here are some of the many names for common feelings people often experience. Circle those feelings you experience most often. Feel free to add other emotions you often feel (that's what the extra lines at the end are for). Finally, cross out feelings you rarely experience.

	-	-	
Afraid	Frightened	Lonely	Stubborn
Angry	Frustrated	Lost	Tense
Annoyed	Furious	Loving	Uncomfortable
Anxious	Guilty	Miserable	Vengeful
Bitter	Нарру	Nervous	Worried
Bored	Hateful	Outraged	Zany
Carefree	Hopeful	Overwhelmed	Zestful
Caring	Hopeless	Passionate	
Confused	Horrified	Peaceful	
Disappointed	Hurt	Pleased	
Disgusted	Hysterical	Rejected	
Down	Indifferent	Relieved	
Ecstatic	Interested	Resentful	
Embarrassed	Jealous	Sad	
Empty	Joyful	Sexy	
Envious	Kooky	Shocked	
Excited	Lazy	Shy	
Exhausted	Lighthearted	Sorry	
-			1

Emotional, Behavioral and Cognitive Conditions and Complications

Anger



takes over, someone I don't

even recognize. I really need to work on fixing my anger

The experience of anger is a good place to start in understanding STORC because the steps are often fairly clear. It is easiest to think of the first step, the Situations that "make you mad." Some common Situations might be feeling hurt or being in pain, being surprised or startled, being criticized or challenged or not getting something you want.

Think of three recent Situations where you felt angry. On page 27, briefly describe three Situations that "made you mad."

Now fill in the Thought column. Do you remember what you were thinking about this Situation – what you said to yourself? If you're not sure, what must you have said to yourself that led to feeling that way in this Situation?

Next fill in the middle (Organic patterns) column describing how you felt. Do you remember any feelings or changes in your body? What were your emotions?

"Sometimes when I get real mad it's like someone else

Next fill in the Response column. What did you do in response to your angry feeling?

Finally fill in the Consequence column. What happened as a result of your action?

26 • © 2010 The Change Companies®

problem."

It is illegal to duplicate this page in any manner.

anger Analyzing It is illegal to duplicate this page in any manner. © 2010 The Change Companies® • 27

Emotional, Behavioral and Cognitive Conditions and Complications

Depression and negative mood A second kind of feeling that is useful to Think of three Situations analyze with the STORC cycle is depression where you experienced negative moods or feelings of depression. and negative mood. Certain Situations, especially those involving loss, prolonged Describe them in the Situations column. stress or lack of social support, tend to Now, fill in the Thought column. Do you increase the chance for negative moods. If remember what you were thinking about in these negative moods persist, some might this Situation? find them leading to full-blown, debilitating depression. Next, fill in the middle (Organic patterns) column describing how you felt. Do you remember any feelings or changes in your body? What were your emotions? Next, fill in the Response column. What did you do in response to your feelings? Finally, fill in the Consequence column. What happened as a result of your action? Think of a time when you were experiencing negative moods or depression. How do you plan to avoid that level of negative mood in the future? "It felt like a cloud was hanging over me the whole day.' 28 • © 2012 The Change Companies® It is illegal to duplicate this page in any manner

e mood	Consequence What happened next as a result?	I missed her going away party. We got in a fight before she left.			
d negativ	Response What did you do?	Avoided everybody. Stayed home alone. I got drunk.			
ssion and	Organic Patterns What did you feel?	Alone Hopeless Abandoned			
Analyzing depression and negative mood		I don't have anyone to spend time with anymore. She'll forget about me.			
Analyzi	Situation Thought What was happening? What did you think to yourself?	Example • My closest friend moved away.	Situation 1	Situation 2	Situation 3
It is illegal	to duplicate this pag	ge in any manner.	-	© 2012 Th	e Change Companies® • 29

Emotional, Behavioral and Cognitive Conditions and Complications

Stress and anxiety



We will explore stress and anxiety in the STORC cycle using a slightly different approach.

On the opposite page, in the Triggers column, list some of the circumstances in which you might feel stressed, anxious, nervous, worried, upset or afraid. These can be particular situations, or specific things you are thinking, feeling or doing.

Next fill in the boxes in the column that says, "What I usually do."
Write down some common responses for when you feel stressed or
anxious in this circumstance.

Finally, in the Alternatives column, consider what else you might do instead that could have better results. How else could you respond to these specific triggers?

The examples below may help you complete your own chart on page 31.

Triggers	What I usually do when I feel anxious in this circumstance	Alternatives
Situations • When I am with people I don't know • When I have to speak to people • When I have too much to do at work	Keep to myself Say something short and get out ASAP I snap at people because I don't have enough time	
Thoughts Thinking about my past Thinking about things that might happen Feeling sorry for myself	Turn on the TV Keep on worrying Drink alcohol	
Organic Patterns Stomach getting tight Breathing fast Sweating	Get away from people Lie down Get high	
Response Driving Talking to someone new	Drive too fast Keep it short, walk away	

30 •	0	2012	The	Change	Comm	min

It is illegal to duplicate this page in any manner.

Analyzing stress and anxiety

Triggers	What I usually do when I feel anxious in this circumstance	Alternatives
Situations		
Thoughts		
Organic Patterns		
Response		
Response		
		1

Emotional, Behavioral and Cognitive Conditions and Complications



Self-esteem

Finally, the STORC cycle can be used to help you explore your self-esteem. Self-esteem refers to how you feel about yourself. You can have positive self-esteem, negative self-esteem or feel somewhere in-between the two.

Have you ever felt a difference between the person you think you are and the person you want to be? Does this difference ever feel like it gets too big? When the distance between these two versions of yourself gets too big, it can affect your self-esteem.

Self-esteem can also be influenced by the circumstances in your life, as well as the goals or ideals you have created for yourself. The way you view your successes, failures, intelligence, social skills, family, creativity, sexuality and physical appearance all can have a positive or negative impact on how you see yourself.

Let's use the STORC model to identify an occasion where you experienced an increase in your self-esteem, and one when you experienced a decrease in your self-esteem. What was the Situation for each of these? What were you Thinking and what were your Organic patterns telling you? What was your Response to feeling both high and low self-esteem? Finally, what were the Consequences?

Example	Increased Self-esteem	Decreased Self-esteem
Situations	A customer gave me a good review.	I got stood up for a blind date.
Thought	I'm really good at what I do. I'm finally getting recognized.	My date must have seen me and taken off.
Organic Pattern	Happy Full of energy Excited for my job	Embarrassed Disappointed Worthless Rejected
Response	I showed the review to my boss.	I'm avoiding all dating opportunities now.
Consequence	My boss gave me good feedback and more responsibility.	I'm still alone. I still feel isolated.

32 • © 2012 The Change Companies®

It is illegal to duplicate this page in any manner.

Analyzing self-esteem

	Increased Self-esteem	Decreased Self-esteem
Situations		
Thought		
Organic Pattern		
Response		
Consequence		

© 2012 The Change Companies® • 33

It is illegal to duplicate this page in any manner.



Readiness to Change

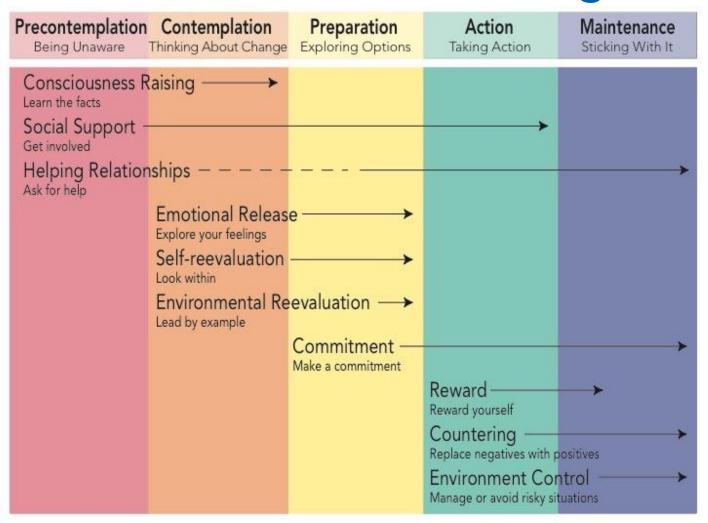
What makes a good goal for change? Just about everybody has things they would like to change in their lives. A particular change is more likely to happen when they make it a goal, a priority. Here are four things that make a good goal: Your goal should be ACHIEVABLE - something that is possible and realistic. It doesn't have to be easy; it's okay for your goal to be challenging. Just make it doable. Your goal should be **REWARDING** – something you really want that would make life better for you or others. When possible, state it as a positive change - something you want to increase, improve, create or strengthen. Your goal should be MEASURABLE - a change that you and others can observe. How will you know you are making progress toward it? Your goal should be SPECIFIC - General goals like, "I want to be a better person" aren't clear enough to work on. For a bigger long-term change project, one way to make a specific goal is to decide on the next step you want to Make a goal something you can get your ARMS around. © 2010 The Change Companies® • 7 It is illegal to duplicate this page in any manner.

Your first goal

Here are some things to consider about your first goal. On the line below, write a short description of your first goal from page 8.

0	1	2	3	4	5	6	7	8	9	10
Not at a	ll import	ant						Extre	emely in	portar
Why did importa						lower n	umber (or zero?	Why is	it
C:l	number	on the	scale th	at reflec	ts how	confide	nt you a	re abou	t makin	g this
change:			3	4	5	6	7	8	9	10
	1	2	3		5	6	7	8		10 ure I ca
change:	1 I can't you che	2	s numbe	4 er rather	than a		77		I'm sı	ıre I ca

Processes of Change



Adapted from Changing for Good by James Prochaska, PhD, John Norcross, PhD, and Carlo DiClemente, PhD

Readiness to Change

Try biofeedback

Biofeedback is a way of learning how to make specific physical changes in your body when you want to. Research with

biofeedback has shown that it is possible to learn self-control over body functions once thought to be beyond voluntary control.

A piece of biofeedback equipment measures a particular Organic function of the body and converts it into something you can see, hear or feel.

For example, there are biofeedback devices to measure your heart rate, skin temperature, tension in particular muscles and electrical brain waves. The machine might turn one of these, such as the alpha waves in your brain, into a tone you can hear. As you try to make the pitch go up or down, you learn how to increase this restful and peaceful form of electrical brain activity.

Biofeedback has been used successfully to help people learn how to relax muscle tension, reduce headaches, manage anxiety or pain and increase a drug-free sense of well-being. This approach, of course, requires the help of a professional with experience in biofeedback and the proper equipment. S

Τ

O

R

C

How might biofeedback be useful to you?

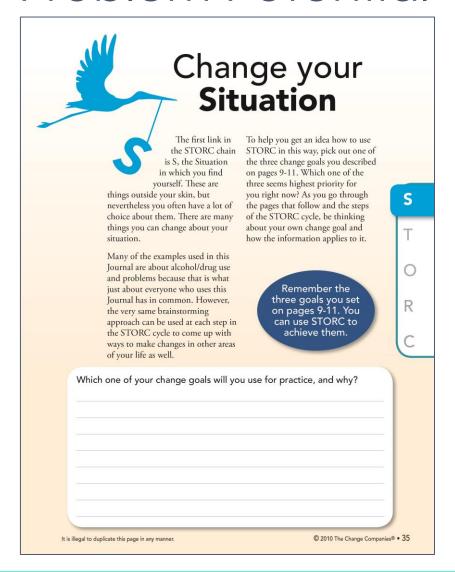
How might biofeedback help you reach your change goals?

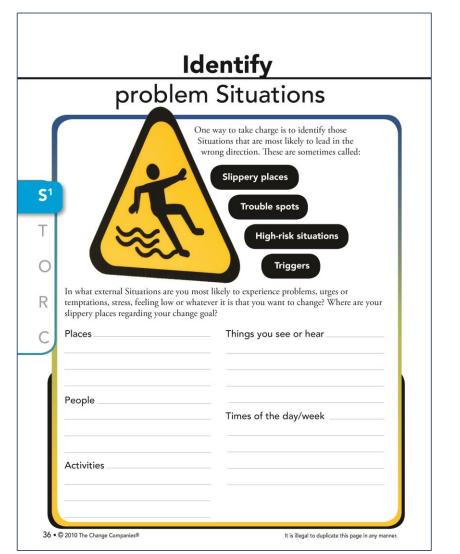
It is illegal to duplicate this page in any manner.

© 2010 The Change Companies® • 65



Relapse, Continued Use or Continued Problem Potential







Relapse, Continued Use or Continued **Problem Potential**

Surround yourself...

Even if you really want to change some thought, feeling or behavior, it can still be hard especially if you try to do that all by yourself. If you're fortunate enough to already be surrounded by people who support your recovery, good for you! But, if support for change is not all around you, then consider going where you can find a whole readymade community of people rooting for you. Recovery support groups are self-help groups where people are working together on change. They are mostly mutual-help groups where people help each other and in the process help themselves as well.

In many communities there are all kinds of mutual-help groups. You might have to try several before you find where you are most comfortable and where you are getting the best support. Here are some that might be available close to where you live and open to you several times a week. If not, chances are there is at least one of these groups in your area.

First, there are the Twelve-Step programs.

Dual Recovery Anonymous (DRA) and Dual Diagnosis Anonymous (DDA) - For people recovering from mental health and substance use problems. www.draonline.org

people who have a desire to guit drugs other than alcohol. There are also more specific groups like Cocaine Anonymous. www.na.org



...with support

There are lots of other similar groups that are based on the same philosophy of the Twelve Steps and Twelve Traditions such as Methadone Anonymous (MA); Gamblers Anonymous (GA); Overeaters Anonymous (OA); Sex and Love Addicts Anonymous (SLAA); and Schizophrenia Anonymous (SA).

Family members and any person affected by someone else's addiction can attend Al-Anon. or Nar-Anon, which is more specifically for families of those with drug problems. There are even groups for young people whose lives have been affected by someone else's drinking or drug use, called Alateen. Double Trouble in Recovery (DTR) was designed to meet the needs of people who have addictive substance problems as well as having been diagnosed with a psychiatric disorder.

There are also support groups for people that are not based on a Twelve-Step approach.

Which group might be best for you?

SMART Recovery offers free who desire to gain independence from any type of addictive behavior.

Women For Sobriety (WFS) is dedicated to helping women overcome alcohol and other www.womenforsobriety.org

S⁷



Recovery Environment



Change your consequences

Now for the last link in the STORC chain, C, the Consequences of your actions. Perhaps these sound like they are beyond your control, but in fact there is much you can do here as well. These Consequences in turn become part of your ongoing Situation, and so the STORC cycle continues. Remember, the good news is that you can make changes at any link in the chain: S, T, O, R or C.

Choose your consequences

You can choose your Consequences by choosing your Responses wisely. There is a trap worth mentioning here. Sometimes people are told, "You can't..." and often it is not actually true. If a probation officer says, "You can't leave the city," it is not actually true. What it means is: "If you leave the city and get caught, there will be negative consequences." Similarly, people with alcohol use problems who

are told, "You can't drink" know perfectly well that they can choose to do so. The meaning is that if they do drink, the results are likely to be negative.

The trap is that a person who is told, "You can't..." tends to experience resentment and a desire to say, "Oh yes I can!" Whenever someone claims to limit your choices, there is a natural reflex to assert your freedom. Even if you

know very well the possible negative consequences, just being told, "You can't" may tempt you to prove that you can. A possible antidote thought here is: "I can, but I choose not to because..."

For example, a person with diabetes might be told, "You can't have sweets." A liberating thought is: "Actually, I can eat sweets; I just choose not to in the interest of my health."

S

Т

0

R

What have you been told that you can't do?

Is that actually true – that you are literally unable to do it? ___Yes ___No

What do you feel or think when someone tells you that you can't do something?

It is illegal to duplicate this page in any manner.

© 2010 The Change Companies® • 79

Recovery Environment

Who can help?

Besides yourself, who might be able to help you make the changes you want in your life? These supporters might be family, friends,

spiritual leaders, health professionals, teachers, co-workers or others you know. Who could help you and how might they help?

Someone else who might help me with the changes I want to make is: This person could help me by:	
Someone else who might help me with the changes I want to make is:	
This person could help me by:	

Recovery

What about your longer-term hopes? What future do you wish for yourself and those you love? Recovery is all about the positive changes problem or illness. It is about returning to or finding a place of health and balance.

It is illegal to duplicate this page in any manner.

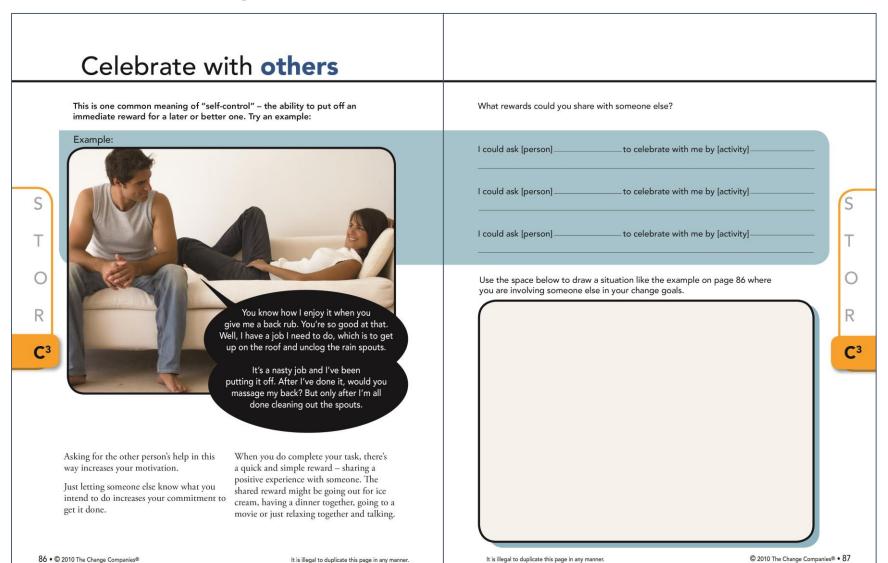
Think about what your life might be like in the future if you made mental balance a priority and no longer used alcohol or illicit you hope for, not only escaping from a current drugs. What do you hope your life will be like

© 2010 The Change Companies® • 15

Family:	
<u></u>	
\\\-\-\-\-\-\-\-\-\-\-\-\-\-\-\-\-\-\-	
Work or School:	
-	
Role in Your Community:	
•	

5.	
Finances:	
2	
50	
Health:	
Spirituality:	

Recovery Environment



FACILITATION AND NOTE-TAKING

- Open group format
- Process Group: Everyone at different places in their Journals
- Clinicians track clients based on dimensional needs
- First person documentation

REMEMBER!

Individualized Care means...

This person
in this setting
on this day
at this stage of interest or readiness to change



See page 19 of the Training Journal

Thank you!

Scott Covert, VP of Early Intervention Services

www.changecompanies.net

www.trainforchange.net



